L-101US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William T. Wilkinson

Serial No.:

09/982,440

Filed:

October 18, 2001

For:

METHOD FOR PROVIDING

INSURANCE FOR

INTELLECTUAL PROPERTY

: Art Unit: 2161
: Examiner: Unknown
:

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATE

Assistant Commissioner for Patents

- Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

More than three months have elapsed since the filing of the abovereferenced application. No Official Action has yet been received and it is presumed that none has yet been mailed. Furthermore, as more specifically indicated below, even if an office action has been mailed, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

STATEMENT

The undersigned hereby states that

that each item of information disclosed in the Information Disclosure
Statement was first cited in any communication from a foreign patent office in a
counterpart foreign application not more than three months prior to the filing of the
Information Disclosure Statement.

no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months before the filing of the Information Disclosure Statement.

Respectfully submitted,

Costas S. Krikelis, Reg. No. 28-028 Rex A. Donnelly, Reg. No. 41,712 Attorneys for Applicant

Enclosures:

PTO Form 1449 Copy of 6 References

Dated: January 28, 2003

RatnerPrestia P.O. Box 1596 Wilmington, DE 19899 (302) 778-2500 Fax: (302) 778-2600 The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231 on January 28, 2003.

Ruth Curran

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Information Disclosure Statement by Applicant

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO

WIL-101US

09/982,440

APPLICANT

William T. Wilkinson

FILING DATE

October 18, 2001

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Sheet 1 of 1

U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
		 				

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
1 1				l	l	

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

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	3)	DeSouza, Glenn, 'ROYALTY METHODS FOR INTELLECTUAL PROPERTY," Business Economis,
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	4)	Mard et al., 'INTELLECTUAL PROPERTY VALUATION," The Financial Valuation Group, 4/2/2000,
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 	5)	Rabe et al., "VALUATION OF INTANGIBLE ASSETS FOR PROPERTY TAX PURPOSES,"
		The National Public Accountant, 04/1994, Vol. 39, Issue 4, 7 pages, retrieved from Proquest
 	6)	Robinson et al., "HOW TO MEASURE AN ORGANIZATION'S INTELLECTUAL CAPITAL,"
		Managerial Auditing Journal, 1996, Vol. 11, No. 8, 4 pages, retrieved from Dialog
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Function	Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.